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Substitute for form 1449A/PTO				Cor	mplete if Known	
				Application Number		10
INFO	INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Filing Date		00
STA				First Named Inventor	FULLER	
				Art Unit		
(	(use as many s	sheets as	necessary)	Examiner Name		
Sheet	1	of	.3	Attorney Docket Number	DF01-001	<i></i> }` :

U.S. PATENT DOCUMENTS								
Examiner Initials		Document Number Number - Kind Code <sup>2</sup> (if know	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	[	us- 6,272,483	8/7/2001	Joslin, Clements				
a and a company of the control of the		us- 6,269,339	7/31/2001	Silver				
	ļ	US- 6,223,164	5/24/2001	Seare et al				
	<u>[</u>	us- 6,088,510	7/11/2000	Sims				
	<u></u>	Us- 5,999,893	12/7/1999	Lynch et al				
		us- 6,026,397	2/15/2000	Sheppard				
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	<u> </u>	∪s- 5,712,984	1/27/1998	Hammond et al.				
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r"	FOREIGN PATENT DOCUMENTS							
Examiner Initials*		Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т6		
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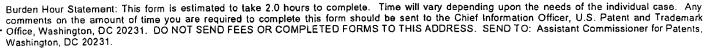
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Application Number		0			
Filing Date		LC.			
First Named Inventor	FULLER	S.			
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Attorney Docket Number	DF01-001	S			

include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the							
Examiner Initials	Cite No. 1	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue					
	1.	Anderberg, Michael, Cluster Analysis for Applications, Academic Press, New York, 1973.					
	2.	Bock, HH, <u>Classification and Related Methods of Data Analysis</u> , Elsevier Science Publishers, North Holland, 1988					
······································	3.	Celeux, Gilles and Soromenho, Gilda, "An Entropy Criterion for Assessing the Number of Clusters in a Mixture Model," <i>Journal of Classification</i> , 13, 195-212, 1996					
	4.	Fowles JB et al, "Taking health status into account when setting capitation rates: a comparison of risk-adjustment methods," <i>JAMA</i> 276(16): 1316-21, 1996.					
	5.	Hornbrook, Mark C & Goodman Michael J., "Assessing Relative Health Plan Risk with the Rand 36 Health Survey," <i>Inquiry</i> , 32:1, 56-74, 1995.					
	6.	Hornbrook, Mark C & Goodman Michael J., "Chronic Disease, Functional Health Status, and Demographics: A Multi-Dimensional Approach to Risk Adjustment," <i>Health Services Research</i> , 31:3, 283-307, 1996.					
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	8.	Lorr, Maurice, <u>Cluster Analysis for Social Scientists,</u> Jossey-Bass Publishers, San Francisco,1983.					
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	11.	Nord, Erik, "Health Status Index Models for use in Resource Allocation Decisions," International Journal of Technology Assessment in Health Care, 12:1, 1996.					

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Examiner	Date	
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<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.



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	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>			
		Anderson, Richard V., "Can Risk-Assessment Tools be Feasibly Used in the Health Benefit Marketplace?," <i>Advances in Health Economics and Health Services Research</i> , 12, JAI Press, 1991.				
	13.	Goodman, Michael J. et al, "Persistence of Health Care Expense in an Insured Working Population," Advances in Health Economics and Health Services Research, 12, JAI Press, 1991.				
	14.	Fuller, Douglas N., " AN EXPLORATION OF POPULATION CLASSIFICATION FOR MANAGED HEALTHCARE WITHIN A STATE-BASED MODELING FRAMEWORK" University of Virginia Dissertation, dated Jan. 2000, published May 22, 2000 (see				
		attached letter from Director of Cataloging Services, University of Virginia Library).				
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